

# Wealth Managers and Retail Brokers

Meet Retail Time of Trade Disclosure obligation (MSRB Rule G-47); access levels for target and comparable bonds; support for Best Execution.

Are you in need of cost-effective information, analysis and tools to help meet your clients' best interests and to address regulatory requirements?

## ONE-CLICK ACCESS FOR RETAIL TIME OF TRADE DISCLOSURE OBLIGATIONS

Meet Retail Time of Trade Disclosure (MSRB Rule G-47) obligation with a comprehensive Muni Bond Report for every municipal bond; audit trail and bond report storage

- » Supports MSRB Rule G-47 for Retail/Non-SMMP Time of Trade Disclosure

## MUNI BOND REPORT

Available for all municipal bonds, including new issues; dynamically created at the time accessed to ensure up-to-date data

- » Access all information in one place to support a rich dialogue with your client
- » All Information Current at Time of Generation
- » White Label with Your Logo
- » Configurable and Editable Disclosure Section

## SECONDARY MARKET PRICING TOOL

Assess levels for the target bond and comparable bonds -- support for pricing or identify alternatives for clients.

- » Bond-Based Market Data and Analysis for the Target Bond and Comparable Bonds
- » Identify Levels for the Target Bond and Alternatives to Meet Your Client's Needs
- » Comparable Bonds and Trades Identified
- » Filters to Refine Comparable Trades Returned
- » Support for Best Execution (MSRB Rule G-18)

## BITVORE NEWS

- » Obligor-Focused News from Thousands of Sources
- » Source Information for Prospect/Client Meetings

## ABOUT

Lumesis provides software and data solutions for the US municipal market. Founded in 2010, the DIVER platform serves constituents across the municipal market with business and regulatory solutions.

The DIVER platform serves thousands of users across its diverse client base of banks, broker dealers, municipal advisors, investment advisors, institutional investors and issuers.

## WHAT CLIENTS ARE SAYING...

The Lumesis team is routinely highlighted for their outstanding client service.

*"The Muni Bond Report is a great tool for details about the bond and something I confidently share with my clients."*

*"The muni trading data and insights are a game-changer – real data and full transparency allow me to gain a real-time perspective of the market for the bond at hand."*